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# Effect of epitaxial strain on tunneling electroresistance in ferroelectric tunnel junctions

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#### Abstract

We report the effect of compressive strain on the tunneling electroresistance (TER) effect in  $BaTiO_3/SrRuO_3$  (BTO/SRO) heterostructures. We find that epitaxial strain imposed by the mismatch of NdGaO<sub>3</sub> and SrTiO<sub>3</sub> lattice parameters with the BTO and SRO layers improves ferroelectric polarization of BTO and concurrently promotes the metallicity of the SRO films. While the enhanced polarization is beneficial for the TER magnitude, the reduced asymmetry in the tunneling barrier due to the shortened screening length of SRO is detrimental for the effect. Thus, a combined effect of strain on the polarization of the ferroelectric barrier and the screening properties of the electrodes needs to be taken into account when considering and predicting the TER effect in ferroelectric tunnel junctions.

Keywords: tunneling electroresistance, ferroelectric thin films, switching effect, epitaxial oxides thin film

(Some figures may appear in colour only in the online journal)

### 1. Introduction

Ferroelectric tunnel junctions (FTJs) have recently aroused significant interest due to their fascinating properties useful for applications in nanoelectronics, spintronics and data storage [1-3]. A typical FTJ consists of two metal electrodes separated by a nanometer-thick ferroelectric barrier layer, which allows electron tunneling through it. The key property of the FTJ is tunneling electroresistance (TER)-a change in the FTJ's electrical resistance upon reversal of ferroelectric polarization [4, 5]. Contrary to the ferroelectric capacitors where leakage currents are detrimental to the device performance, the conductance of a FTJ is the functional characteristic of the device [6]. Based on simple models it was predicted that the TER effect could be as large as several orders of magnitude due to the change in the tunneling potential barrier [4, 5, 7]. These results were elaborated using first-principles calculations of the transport properties of FTJs, which emphasized the importance of the interface bonding as well as evanescent states in TER [8, 9]. Following these theoretical predictions, three experimental groups have independently measured the TER effect associated with the ferroelectric polarization switching in BaTiO<sub>3</sub> (BTO) [10, 11] and  $Pb_{1-x}Zr_xTiO_3$  [12] ferroelectric films, revealing resistance changes by two-three orders of magnitude. Further progress has been achieved by successful demonstrations of the TER effect in trilayer patterned junctions suitable for device applications [13-18]. These experimental results provided a proof of concept for the FTJ and demonstrated the possibility for thin-film ferroelectrics to be used as a nanoscale barrier in the devices that can store binary information. It was also proposed that the functionality of FTJs could be extended by exploiting ferromagnetic electrodes to create the so-called multiferroic tunnel junctions (MFTJs) [19]. An MFTJ constitutes a four-state resistance device where the resistance is controlled by both, the ferroelectric polarization direction of the barrier and the magnetization alignment of the ferromagnetic electrodes. MFTJs are interesting from the point of view of their multifunctional properties, as has been demonstrated in a number of recent experimental studies [20-23].

The key parameters controlling the TER ratio in FTJs are the polarization of the ferroelectric barrier layer and the screening length in the adjacent electrodes. It is imperative to realize a ferroelectric barrier with a large and stable remnant polarization for both polarization states. It is also important to achieve sufficient asymmetry in the screening lengths of the two metallic electrodes, which largely controls the magnitude of the TER ratio [4]. Note, however, that too large screening length may be detrimental for polarization stability. Optimal parameters controlling FTJ properties may be obtained by varying ferroelectric and electrode materials [24], by interface engineering [25], or/and by the epitaxial strain control [26]. Theoretical [27] and experimental [28] works show growth of compressively strained ferroelectric films enhancing the polarization magnitude and aligning it normal to the interface. On the other hand, strain imposed on the entire FTJ during epitaxial growth may also change electronic and transport properties of the electrode materials. This effect is expected to be especially notable for FTJs based on SrRuO<sub>3</sub> (SRO) electrodes due to the strong sensitivity of the SRO thin film properties to epitaxial strain [29]. Such an effect may enhance or reduce the TER, depending on changes in the electronic properties of the interface, which control polarization charge screening and hence the tunneling barrier height. Thus, to achieve the optimum performance of FTJs one needs to consider the strain effect imposed on the electrode material.

In this paper, we report the competing effects of epitaxial strain on the TER effect associated with ferroelectric polarization switching of BTO thin films deposited on SRO electrodes. Using SRO thin films epitaxially grown on single crystals SrTiO<sub>3</sub> (001) (STO) and NdGaO<sub>3</sub> (110) (NGO) substrates we explore the effect of epitaxial strain on structural and electric transport properties of SRO as well as ferroelectric properties of BTO and correlate these properties with the TER measured in the BTO/SRO tunnel junctions. Although the epitaxial strain enhances and stabilizes ferroelectric polarization of BTO, it also improves metallicity of SRO, which leads to the reduction of TER due reduced asymmetry in the screening lengths of the two metal electrodes.

#### 2. Experiment

To address a high sensitivity of the SRO initial growth stage to chemical composition of the surface, prior to deposition the pre-cleaned substrates were chemically etched using NH<sub>4</sub>F buffered HF (BHF) solution or modified BHF solution for STO and NGO respectively. Subsequent annealing in O<sub>2</sub> atmosphere resulted in atomically flat substrate with B-site surface termination in accordance with previously described STO [30, 31] and NGO [32] substrates treatment. Deposition was carried out using a multi-target pulsed laser deposition system equipped with a KrF excimer laser ( $\lambda$  = 248 nm). The SRO layers were grown at 750 °C under oxygen pressure of ~125 mTorr using the laser energy density of ~2.2 J cm<sup>-2</sup> and pulse repetition frequency of 2 Hz. During the subsequent BTO synthesis the temperature and oxygen pressure were lowered to 700 °C and 5 mTorr, respectively. The films were cooled down to room temperature at the rate of  $5^{\circ}$  min<sup>-1</sup> under 300 Torr of oxygen pressure. The high-pressure reflection high-energy electron diffraction (RHEED) system was employed for in situ monitoring of the heterostructure film growth. The thickness of the BTO films was controlled by monitoring the intensity oscillations of RHEED specular reflection. The crystal structure and thickness of the samples prepared for the SRO properties testing were analyzed using x-ray diffraction (XRD) and x-ray reflectivity techniques (Rigaku SmartLab). During BTO/SRO heterostructure synthesis, the SRO thickness was maintained constant at 17 nm by controlling the number of calibrated laser shots. We continuously employed in situ RHEED pattern analysis for initial screening of the samples. Only those exhibiting identical with the substrates RHEED patterns, were used for further analysis. In-plane conductivity of SRO films were tested using standard 4-probe technique using Oxford cryostat system. A commercial atomic force microscopy (AFM) system (MFP-3D, Asylum Research) was used for piezoresponse force microscopy (PFM) testing of polarization state in the BTO layers. Conductive cantilevers probes serve as charge screening top electrode and were employed for polarization imaging and measurement of the I-V characteristics of the obtained heterostructures employing a conducting AFM (C-AFM) mode.

#### 3. Results and discussion

#### 3.1. Effect of epitaxial strain on SRO thin film

A number of research groups have reported successful epitaxial growth of the SRO films up to several tens of nanometers on the STO (001) substrates [33-36]. Growing SRO films on NGO (110) substrates is more challenging [37]. While SRO films grown epitaxially on STO are under 0.6% compressive strain, the films grown of NGO are exposed to 1.7% compressive strain. As the film thickness increases, the large lattice mismatch between NGO and SRO results in structural relaxation. In order to make accurate comparison between epitaxially strained SRO films grown on STO and NGO substrates, one needs to ensure the absence of relaxation. For this purpose, we have prepared and performed x-ray studies of SRO thin films of different thicknesses grown on NGO substrates. Figure 1(a) demonstrates RHEED intensity of specular point during deposition and pattern taken on the 22 nm thick SRO film grown on NGO and STO substrates. The spot intensity oscillated twice and then reached a steady state until the growth was stopped. This behavior of the RHEED intensity represents the fact that the growth mode of the SRO film has a transition from the two-dimensional layerby-layer to the step-flow mode, widely observed for the SRO films grown on the STO substrates [38]. Positions of RHEED bright spots before and after SRO deposition are shown in figure 1(b). This pattern matches exactly those of the bare substrates and peaks have very small streaking, suggesting atomically smooth film surface with crystallinity similar to the



**Figure 1.** (a) RHEED specular spot intensity during  $SrRuO_3$  deposition on (110) NdGaO<sub>3</sub> substrate. (b) RHEED diffraction pattern before and after 22 nm deposition of SRO on STO (left) and NGO (right). (c) X-ray 2theta–theta profiles of 22 nm thick  $SrRuO_3$  films grown on STO (red) and NGO (blue) substrates. (d) Thickness dependence of the out-of-plane lattice spacing of the  $SrRuO_3$  films grown on the NdGaO<sub>3</sub> and  $SrTiO_3$  substrates.

substrate. The Bragg–Bretano XRD pattern displays only reflections arising from the film and the substrate, and there are no traces of secondary impurity phases. The diffraction peak positions also confirm the (010) out-of-plane orientation of SRO film. Figure 1(c) shows the x-ray 2theta–theta profiles of the 22 nm thick SRO film synthesized on STO and NGO substrates.

The Laue oscillations, whose period corresponds to the film thickness, again indicating very uniform and smooth films. The measured out-of-plane lattice constants of the SRO film are larger than the bulk lattice parameters in both cases (4.01 and 3.95 Å for SRO/NGO and SRO/STO, respectively, as compared to 3.93 Å for bulk SRO). These constants remain unchanged for the entire film thicknesses (in the range up to 22 nm) for the same substrate (figure 1(d)).

To compare the intrinsic properties of SRO thin films grown on the two substrates, which are relevant to the TER effect, we studied the electronic transport properties of the SRO films. To exclude the possibility of relaxation in the outmost SRO layers, a 17 nm thick SRO film was chosen for fabrication and further analysis. Figure 2 shows a temperature dependence of the resistivity  $\rho(T)$  of the SRO film on the STO and NGO substrates. Both films clearly demonstrate metallic behavior with a kink at the Curie temperature corresponding



**Figure 2.** Temperature dependence of the in-plane electrical resistivity ( $\rho(T)$ ) of SrRuO<sub>3</sub>/NdGaO<sub>3</sub> (the red curve) and SrRuO<sub>3</sub>/SrTiO<sub>3</sub> (the blue curve). Inset shows the derivative ( $d\rho/dT$ ) revealing a pronounced peak at the transition temperature.

to the phase transition between ferromagnetic and paramagnetic states. Analysis of the  $d\rho/dT$  characteristics (inset in figure 2) shows that the transitions occur at  $T_{\rm C}$ =153 and 148 K for the SRO films grown on the NGO and STO



**Figure 3.** (a) RHEED pattern of  $BaTiO_3$  (11 ML)/SrRuO\_3/NdGaO\_3 before and after deposition of 11 ML  $BaTiO_3$  thin film. AFM topography of the  $BaTiO_3(11 \text{ ML})/SrRuO_3/NdGaO_3$  (b) and  $BaTiO_3(11 \text{ ML})/SrRuO_3/SrTiO_3$  (c) films indicating terraces and periodic steps with a unit-cell height.

substrates, respectively. The room temperature resistivity of the SRO/STO sample is about  $315 \,\mu\Omega$  cm, which is by a factor of 1.6 larger than that of the SRO/NGO sample ( $200 \,\mu\Omega$  cm). These values are consistent with the results, reported by other groups for SRO films grown on STO [29] and NGO [39] substrates.

This difference in the resistivity may be attributed to the formation of Ru vacancies which are known to strongly affect the resistivity of SRO thin films. It was found that changing the ruthenium stoichiometry in SRO films by varying the oxygen activity during deposition changes the residual resistivity of SRO films [40, 41]. For the stoichiometric samples, the room temperature resistivity was measured to be about 190  $\mu\Omega$  cm, which is similar to what we obtained for SRO films grown on the NGO substrates. When ruthenium vacancies are introduced, this value increases markedly to about  $300 \,\mu\Omega$  cm [40] similar to what we measure for SRO/ STO samples. Furthermore, the resistivity scales with the volume of the unit cell: according to [40], the resistivity increases by a factor of 1.5 with the volume of the unit cell changing from 240.6 to 241.8 Å<sup>3</sup> (i.e. by about 0.5%). Our XRD measurements revealed that the volume of the SRO unit cell changed from 240.2 Å<sup>3</sup> when grown on the NGO substrate to 241.1 Å<sup>3</sup> on the STO substrate, demonstrating a similar change in the resistivity. We attribute this observations to the presence of Ru vacancies in our films, which is also consistent with decreasing the Curie temperature with increasing Ru vacancy concentration in SRO films [40].

### 3.2. Effect of epitaxial strain on TER effect in BTO/SRO heterostructure

To elucidate the impact of the epitaxial strain on TER, we have fabricated a set of BTO/SRO/NGO and BTO/SRO/STO

samples and performed PFM and C-AFM studies. The growth of the BTO/SRO heterostructure on STO and NGO substrates was controlled *in situ* by monitoring the RHEED pattern and specular point intensity during the deposition process. Observed intensity oscillations indicate the two-dimensional layer-by-layer growing mode for the BTO film and the peaks intensity represent the formation of a new monolayer (ML), consisting of a full unit cell of BTO perovskite structure (4.05 Å). Perfect preservation of the initial bright spots pattern and intensity oscillations for 11 ML of BTO film are clearly seen in figure 3(a). The AFM topographic images of the surface morphology in figures 3(b) and (c) show atomically flat terraces with one unit-cell high (~4 Å) steps of BTO/SRO heterostructure on both substrates. These results indicate epitaxial structure of the fabricated samples.

To analyze the ferroelectric properties of the fabricated heterostructures, the local switching spectroscopy of 6 ML thick (~2.4 nm) BTO films has been carried out by means of PFM. The local hysteresis loops shown in figures 4(a) and (c) indicate strong ferroelectric response for both heterostructures. PFM imaging of the electrically poled regions in the BTO films revealed switchable and stable polarization states in the BTO films. Subsequent investigation of the TER effect in the same BTO heterostructures on the SRO/STO and SRO/NGO substrates has been performed by acquiring multiple I-V curves for various locations in the electrically poled regions with polarization pointing up and down (figures 4(b) and (d)). It can be seen that although both films exhibit the resistive switching behavior, the effect is more pronounced in the BTO/SRO/STO samples. The OFF/ON resistance ratio, or TER, was about 20 for the BTO/SRO/STO heterostructure, which agreed well with the previously reported results [11]. However, this ratio was twice as small (about 10) for the BTO/SRO/NGO heterostructure, contrary to the expectation that the larger remnant polarization of BTO due to the larger



**Figure 4.** Results of PFM and C-AFM testing of the for 6 ML thick  $BaTiO_3$ -based ferroelectric heterostructures grown on  $SrRuO_3/SrTiO_3$ (a), (b) and  $SrRuO_3/NdGaO_3$  (c) and (d). (a) and (c) PFM hysteresis loops and (b), (d) *I*-*V* curves obtained in the heterostructures poled downward with +3 V (red curves) and upward with -3 V (blue curves). Insets in (b) and (d) show PFM phase images of the  $BaTiO_3$  films after poling with -3 V (lighter regions) and +3 V (darker regions).

compressive strain induced by the NGO substrate would result in the enhanced TER effect.

We explain this observation in terms of the improved metallicity of the SRO films in the samples grown on the NGO substrates. Changes in the carrier concentration affect the electron screening properties and, thus, alter the tunneling potential barrier seen by the charge carriers. The TER effect magnitude is determined by the asymmetry in the screening lengths of the top (the AFM tip) and bottom (SRO) electrodes. The AFM tip is expected to have excellent screening properties with a screening length typical for a good metal. This layer controls the effective screening length,  $\delta_1$ , of the ferroelectric polarization on the BTO film surface. The screening length of the bottom SRO electrode varies under different compressive strains induced by STO and NGO substrates. When grown on the STO substrate, the SRO film has reduced metallic properties manifested in a relatively large screening length ( $\delta_{\text{STO}}$ ) at the bottom interface. For the SRO films grown on the NGO substrate, the larger epitaxial strain improves SRO metallicity and reduces the screening length ( $\delta_{\text{NGO}}$ ). This decrease in the SRO screening length under larger strain diminishes the asymmetry of the FTJ heterostructure, hence reducing a change in the average potential barrier height upon polarization reversal which results in the reduced TER effect in the BTO/SRO/NGO heterostructures.

To make these arguments quantitative, we estimate the observed changes in TER under strain. Within a simple Wentzel-Kramers-Brillouin model, the TER ratio can be expressed as follows[11]:

TER = 
$$\exp\left[\frac{\sqrt{2m}}{\hbar}\frac{\delta\phi}{\sqrt{\phi}}\right]d,$$
 (1)

where d is ferroelectric layer thickness,  $\phi$  is the average potential barrier height, and  $\delta \phi$  is its change with reversal of

ferroelectric polarization. The latter is given by [4]

$$\delta\phi \approx \frac{\mathrm{e}dp\left(\delta_2 - \delta_1\right)}{\varepsilon\left(\delta_2 + \delta_1\right)},\tag{2}$$

where *P* is ferroelectric polarization and  $\varepsilon$  is background dielectric permittivity [42],  $\delta_1$  and  $\delta_2$  are screening lengths of the electrodes. For simplicity, we assume that the ferroelectric polarization is uniform across the barrier layer and has the same magnitude *P* independent of its orientation. In this case we find:

TER 
$$\approx \exp\left[\frac{\sqrt{2m}}{\hbar\sqrt{\phi}}\frac{ep(\delta_2 - \delta_1)}{\varepsilon(\delta_2 + \delta_1)}d^2\right].$$
 (3)

As is evident from this expression, the TER enhancement resulting from the increased ferroelectric polarization in a more compressively strained film may be outweighed by the decrease in the screening length  $\delta_2$  due to the enhanced metallicity of the electrode. (In the limiting case when  $\delta_2$ becomes equal to  $\delta_1$  the TER effect disappears irrespective of how strongly the polarization is enhanced.) For example, if we assume that ferroelectric polarization is enhanced by a factor of two from  $P = 20 \,\mu \text{C cm}^{-2}$  for the BTO/SRO/STO structure to  $P = 40 \,\mu\text{C}\,\text{cm}^{-2}$  for the BTO/SRO/NGO structure, using typical parameters for BTO-based FTJs ( $\varepsilon = 100 \varepsilon_0$  [43],  $m = m_0$ , d = 2.4 nm and  $\delta_1 = 0.5$  nm), the experimentally measured values of TER will be observed if the screening length  $\delta_2$  will be ~0.9 nm for the BTO/SRO/STO structure and ~0.7 nm for BTO/SRO/NGO. The smaller screening length for the SRO electrode on the NGO substrate means that this FTJ is more symmetric; hence, it should have a smaller TER. We see that even though the ferroelectric polarization is expected to be larger for the strained BTO films on the NGO substrate, the smaller difference in the screening lengths between the top and bottom electrodes cancels out the TER enhancement expected for a larger ferroelectric polarization.

#### 4. Conclusion

In conclusion, we have successfully synthesized BTO-based FTJs with different epitaxial strain provided by STO and NGO substrates. Although the ferroelectric polarization of BTO is enhanced when the BTO/SRO heterostructure is grown on the NGO substrate due to a larger compressive strain, the TER effect is reduced. We attribute this phenomenon to the strain-induced changes in the electronic properties of the SRO films. Specifically, we suggest that the reduced TER in BTO/SRO/NGO is due to the enhanced metallicity of SRO, grown on NGO, which reduces asymmetry of the potential profile in this heterostructures. Thus, a combined effect of strain on the ferroelectric polarization of the barrier and the screening properties of the electrodes needs to be taken into account when considering/predicting the TER effect in FTJs.

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